

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
126715-1/GE4-0004

In Re Application Of: Christopher Kapusta et al.

Serial No.  
10/065,881

Filing Date  
November 27, 2002

Examiner

Group Art Unit

Title: OPTICAL VIA FOR THREE DIMENSIONAL INTERCONNECTION



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Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

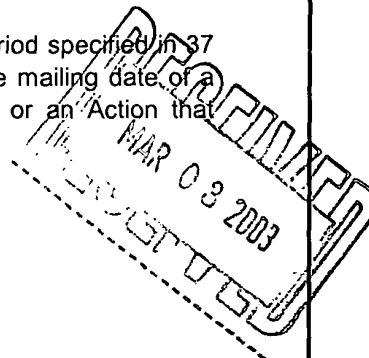
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed.
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Signature

Dated: February 14, 2003

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

126715-1/GE4

Application Number

10/065,881

Applicant(s)

Christopher Kapusta et al.

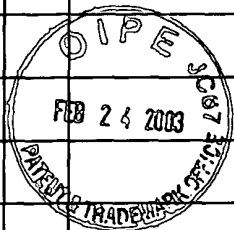
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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Deformable mirrors flex low-cost potential", OLE May 2001, pages 37-39 Evan Thrush, Ofer Levi, Ke Wang, Mark A. Wistey, James S. Harris, Jr., Stephen J. Smith; Solid State and Photonics laboratory, Stanford University, Dept. of Molecular and Cellular Physiology, Stanford University; "Integrated semiconductor fluorescent detection system for biochip and biomedical applications"
		D.L. Geddis and N.M. Jorkerst, School of Electrical and Computer Engineering, Georgia Institute of Technology, Atlanta, GA; "A Bi-directional Optical Link Using a Stacked Thin Film Emitter and Detector; CLEO 2002/Tuesday Morning; pages 138-139"

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.